

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Vijaya N.V. Raghavan et al

Serial No.: 10/016568

Examiner:

Filing Date: Dec 07, 2001

Group Art Unit:

Title: Mounting Process For Outgassing-Sensitive Optics

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- (X) Response/Amendment ( ) Petition to extend time to respond  
( ) New fee as calculated below ( ) Supplemental Declaration  
( ) No additional fee (Address envelope to "Box Non-Fee Amendments")  
( ) Other: Property Rights Supplemental Statement (fee \$                     )

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS		MINUS		= 0	X \$18	\$ 0
INDEP. CLAIMS		MINUS		= 0	X \$84	\$ 0
[ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$280	\$ 0
EXTENSION FEE	1ST MONTH \$110.00	2ND MONTH \$400.00	3RD MONTH \$920.00	4TH MONTH \$1440.00		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 50-1078. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 50-1078 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 10/29/02

Typed Name: Ann Marie Radcliffe

Signature: Ann Marie Radcliffe

Respectfully submitted,

Vijaya N.V. Raghavan et al

By Judy L. Shie

Judy L. Shie

Attorney/Agent for Applicant(s)  
Reg. No. 50,305

Date: 10/29/02

11/5/02  
The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable. 11/7/02

I (We) Gerald William Purmal

citizens of U.S.

residing at P.O. Box 1746, Los Gatos, California 95033 U.S.A.

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/016,568 filed in the United States of America on 12/07/01

titled Mounting Process For Outgassing Sensitive Optics

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

OK, w/ #7  
☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by Hewlett-Packard. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Hewlett Packard Other relevant facts are \_\_\_\_\_  
(name of employer)

That to the best of my (our) knowledge and belief (and/or) based upon information provided by \_\_\_\_\_ of \_\_\_\_\_

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are \_\_\_\_\_

That to the best of my (our) knowledge and belief:

☐ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

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NOV - 6 2002  
LICENSING & REVIEW

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: [Signature]

Post Office Address: P.O. Box 1746, Los Gatos, CA 95031

Date: October 18, 2002

Inventor's Signature: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Date: \_\_\_\_\_

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Mark Timothy Sullivan  
citizens of U. S.  
residing at 307 Palo Alto Avenue, Mountain View, California 94041 U.S.A.  
declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/016,568 filed in the United States of America on 12/07/01  
titled Mounting Process For Outgassing Sensitive Optics

(Check and complete either I or II below) (Check III and/or IV below as appropriate)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by Hewlett-Packard. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Hewlett Packard. Other relevant facts are \_\_\_\_\_  
(name of employer)

That to the best of my (our) knowledge and belief (and/or) based upon information provided by \_\_\_\_\_ of \_\_\_\_\_:

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are \_\_\_\_\_

That to the best of my (our) knowledge and belief:

☐ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

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NOV - 6 2002  
LICENSING & REVIEW

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: MT Sullivan

Post Office Address: 307 PALO ALTO AVE, MOUNTAIN VIEW, CA 94041

Date: 10/17/02

Inventor's Signature: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Date: \_\_\_\_\_

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OCT 1 9 2002

US ACTION 11-1-02  
DUE DATE \_\_\_\_\_  
Paper Dated \_\_\_\_\_  
OA \_\_\_\_\_ FINAL \_\_\_\_\_  
Msg Pt \_\_\_\_\_ Dwg's \_\_\_\_\_  
Appeal \_\_\_\_\_ Issue Fee \_\_\_\_\_  
Other \_\_\_\_\_

*incomplete reply*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

PATENT & TRADEMARK OFFICE  
MAILED

OCT - 2 2002

AGILENTECH PART: RAGHAVEN, ET AL.

IP SERIAL NO.: 10/016,568 *1000260-4*

FILED: 12/07/01

TITLE: MOUNTING PROCESS FOR OUTGASSING-SENSITIVE OPTICS

LICENSING & REVIEW

Receipt is acknowledged of the statement filed 06/19/02 under the provisions of:

       Section 152 of the Atomic Energy Act, 42 U.S.C. 2182, as amended.

X Section 305(c) of the National Aeronautics and Space Act, 42 U.S.C. 2457.

THE STATEMENT IS INSUFFICIENT SINCE:

       It is not in the form of an oath or a declaration (as provided by 37 CFR 1.68).

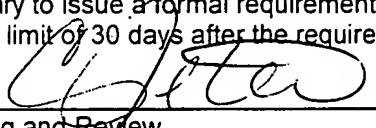
       It fails to set forth the "full facts" surrounding the making and conception of the invention as required by the Act. The full facts should include whether the invention was made and conceived during working hours of an employer's, or on the inventor's own time, using his/her employer's own funds, facilities, materials and services.

       In addition to setting forth the full facts concerning the circumstances under which the invention was made, a general averment in accordance with the statutory requirements was not recited setting forth the contractual relationship (if any) with either DOE and/or NASA.

X The section required the statement be executed by "applicant" and this is construed in accordance with patent statutes as meaning the person or persons who may apply for a patent. It is noted that this includes all joint inventors if the invention is joint, unless deceased, incompetent or unavailable within the meaning of 37 CFR 1.47. If a statement by someone in place of the unavailable inventor(s) is to be accepted, the same proofs, information and assignment called for by 37 CFR 1.47 (b) should be furnished, including the inventor's last known address. This has not been done.

X Other: STATEMENT SUBMITTED IS INCOMPLETE. PLEASE SUBMIT SUPPLEMENTAL STATEMENT WITH INVENTORS' SULLIVAN AND PURMAL SIGNATURES.

This application will be reached in about thirty (30) days for consideration of the need to call it to the attention of the Commissioner for the purpose of the formally requesting a statement under said section, unless a supplemental statement is voluntarily filed in the meantime. Should it be necessary to issue a formal requirement for the statement, no provision is found for extension of the time limit of 30 days after the requirement is made.

  
Licensing and Review  
Special Laws Administration Group  
(703) 305-0241  
10/01/02

PLEASE DIRECT ALL COMMUNICATIONS RELATING TO THIS  
MATTER TO THE ATTENTION OF LICENSING AND REVIEW